

1 FAM 400 POLITICAL, ECONOMIC, AND INTELLIGENCE FUNCTIONAL BUREAUS

1 FAM 410 BUREAU OF POLITICAL-MILITARY AFFAIRS (PM)

(TL:ORG-62; 1-31-1995)

1 FAM 411 ASSISTANT SECRETARY FOR POLITICAL-MILITARY AFFAIRS (PM)

1 FAM 411.1 Responsibilities

(TL:ORG-62; 1-31-1995)

- a. Reports to the Under Secretary for Arms Control and International Security Affairs (T).
- b. Serves as a principal adviser to Department principals in the development of policies relating to the national security of the United States or arising from U.S. military activities affecting U.S. foreign relations.
- c. Provides guidance and coordinates policy formulation on national security issues, including arms control, nuclear nonproliferation, regional security, and export controls. Has Departmental lead on chemical/biological weapons and missile proliferation, export controls, U.S. defense trade, nuclear arms control, defense relations, security assistance, military operations and contingency plans, humanitarian assistance, and analyzing broad trends in international security affairs to determine their effect on U.S. policies. Ensures effective coordination of related policies, oversees operations and programs, advises and supports senior officers of the Department in their formal relationships with the Secretary of Defense and the Joint Chiefs of Staff.
- d. Serves as principal liaison with the Department of Defense on policy issues, including security assistance, and on coordination of U.S. military-

related activities with U.S. foreign policy implications.

- e. Assists the Secretary (S) and the Under Secretary for Arms Control and International Security Affairs (T) in discharging the Secretary's responsibilities for continuous supervision and general direction of security assistance programs in accordance with section 531 and 622(c) of the Foreign Assistance Act and Section 2(b) of the Arms Export Control Act. Advises the Secretary, Under Secretary for Arms Control and International Security Affairs and Under Secretary for Political Affairs (P) on crisis management, military relief operations, access and pre-positioning of U.S. materiel, and other aspects of U.S. defense relations.
- f. Chairs and provides leadership for the Department's participation in interdepartmental groups, as assigned. Chairs Interagency Working Group (IWG) on weapons proliferation and serves as principal U.S. negotiator for access and pre-positioning of U.S. defense materiel in the Persian Gulf.
- g. Provides overall direction for the fulfillment of the Department's responsibilities in the State-Defense Officer Exchange Program (SDE) and for Political Advisers (POLADs) assigned to major military commands.

1 FAM 411.2 Organization

(TL:ORG-62; 1-31-1995)

An organization chart of PM is found as 1 FAM 411 Exhibit 411.2 .

1 FAM 411.3 Definitions

(TL:ORG-62; 1-31-1995)

- a. Congressional Presentation Document. To Congress, an annual justification and budget request for the Administration's proposed security assistance program.
- b. G-7. A group of the 7 major economic counties, including the United States.
- c. G-24. A group which promotes the interest of developing countries in Africa, Asia, and Latin America, organized within the context of the International Monetary Fund (IMF).
- d. Javits Report. An annual classified report to Congress on arms transfers which are under consideration.

- e. Open Skies Treaty. Established a regime of unarmed aerial observation flights over the entire territory of its participants.

1 FAM 411.4 Authorities

(TL:ORG-62; 1-31-1995)

- a. Arms Export Control Act sections 2(b), 38, 40, and 71, as amended (22 U.S.C. 2752(b), 2778, 2780, and 2797).
- c. Foreign Assistance Act of 1961 sections 531 and 622(c), as amended (22 U.S.C. 2346 and 2382(c)).
- d. Foreign Relations Authorization Act section 161(f), Pub. L. 103-236, 108 Stat. 404 (1994) (22 U.S.C. 2651a note)).
- e. Freedom Support Act of 1992, section 504 criteria.
- f. International Traffic in Arms Regulations, 22 CFR section 120-128 and 130, as revised.
- g. State Department Basic Authorities Act of 1956 sections 1 and 45, as amended (22 U.S.C. 2651a).
- h. State Department Delegation of Authority Number 104-7-A, 35 Federal Register 5423 (March 18, 1970).
- i. State Department Delegation of Authority Number 145, as amended.
- j. Other authorities, as appropriate.

1 FAM 412 NONPROLIFERATION AND DISARMAMENT FUND STAFF (PM/NDF)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Assistant Secretary for Political Military Affairs (PM).
- b. Serves as program director for the Nonproliferation and Disarmament Fund (NDF), authorized by the Freedom Support Act of 1992. The purpose of the fund is to develop and implement projects to destroy missiles and warheads, reduce or eliminate chemical and biological weapons and weapons of mass destruction; provide export control training in support of safeguards and verification efforts; and convert scientific programs and work to peaceful civilian uses. Manages all

aspects of NDF program operations, including formulation of project proposals, establishment of application procedures, program implementation and evaluation, and reporting results and program expenditures.

- c. Coordinates project proposal submission, review and approval, assuring that proposals conform to the strategic objectives delineated in Section 504 of the Freedom of Support Act and meet policy objectives.
- d. Initiates action to implement program and project proposals, including negotiations with U.S. Government agencies (DOD, DOE, DOC, U.S. Customs Service), international agencies (e.g., International Atomic Energy Agency (IAEA)), and other governments.
- e. Tracks program and project progress to measure achievements and evaluate the attainment of U.S. foreign policy goals and objectives in support of Section 504 criteria in the Freedom of Support Act. Reports the results of their analysis to the Under Secretary (T), the Assistant Secretary (PM), and the Office of Management and Budget (OMB), among others.

1 FAM 413 PRINCIPAL DEPUTY ASSISTANT SECRETARY (PM/PDAS)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Assistant Secretary for Political-Military Affairs.
- b. Serves as alter ego to the Assistant Secretary, replacing the Assistant Secretary in his or her absence, with authority to act on the Assistant Secretary's behalf across the full spectrum of PM issues.
- c. Has primary responsibility for management of the Bureau and exercises supervision over the Executive Office of the Bureau.
- d. In concert with the Assistant Secretary, coordinates policy and operational activities of the Bureau.
- e. Has primary responsibility for long-range planning for political-military issues, defining issues, developing views, and proposing actions.
- f. As the Principal DAS, represents the Bureau before a variety of fora within the Department and in interagency groups, including testifying before Congress on specially assigned issues and in the Assistant Secretary's absence.

- g. Personally handles projects of special importance or directs significant PM activities at request of the Assistant Secretary.

1 FAM 413.1 Office of the Executive Director (PM/PDAS/EX)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Principal Deputy Assistant Secretary for Political-Military Affairs (PM/PDAS).
- b. Assists the Assistant Secretary for Political-Military Affairs in managing the Bureau through the centralized provision of executive management and administrative services, including financial management, general services, personnel management, information systems support and management analysis. Provides direction for all administrative and management activities, including program development for the Bureau, State Department participation in various delegations, and the State-Defense Officer Exchange Program (SDE).
- c. Acts as the coordinator and communicator with the various bureau offices to provide an awareness of and assistance on overall Department and Bureau policy requirements.
- d. Formulates, prepares, and presents the Bureau's annual program plan and annual budget request. Prepares financial plans and periodic financial status reports. Determines resource availability, distributes and manages funds allocated to the Bureau, and approves fund commitments and obligations. Monitors fund transfers and cost reimbursement accounts with federal and state agencies. Recommends fiscal policy in compliance with Department, Executive Branch, and Congressional policies and regulations.
- e. Provides administrative and general services for the Bureau including travel support, procurement, property management, office space, security, safety, communications, equipment and furnishings, parking, and other services, as appropriate.
- f. Coordinates personnel services for the Bureau including advising and processing documents pertaining to position classification, recruitment and appointment, assignments, reassignments and transfers, equal employment opportunity, career development counseling, training, employee services, awards, reporting, and special projects. Supervises the control and monitoring of staffing levels and the distribution of permanent and temporary employees within the Bureau's organizational units.

- g. Procures, installs and maintains the Bureau's ADP equipment; trains Bureau personnel on automated systems; determines requirements for new automated systems and directs and coordinates the development and implementation of these new systems.
- h. Develops management and administrative policies for and provides management analysis and services to the Under Secretary for Arms Control and International Security Affairs (T) and to the PM Bureau for the purposes of improving resource utilization and promoting efficiency. Serves as the coordinator for internal controls management, Department inspections and audits, and other reporting requirements.

1 FAM 413.2 Congressional and Public Affairs Staff (PM/PDAS/CPA)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Principal Deputy Assistant Secretary for Political-Military Affairs (PM/PDAS).
- b. Serves as policy adviser to the Assistant Secretary (PM/AS) and Principal Deputy Assistant Secretary (PM/PDAS) of the Bureau of Political-Military Affairs on all legislative, congressional, press, and public affairs relating to international security assistance, arms sales, arms control, and defense budget issues.
- c. Coordinates the formulation and preparation of the Department of State's annual legislative proposals on international security assistance and arms transfers.
- d. Plans and oversees the execution of public affairs initiatives and media and press activities, advising the Assistant Secretary on media contacts and appearances.
- e. Coordinates within the Department of State and with appropriate representatives of other U.S. Government agencies, including the Department of Defense, Arms Control and Disarmament Agency (ACDA), Agency for International Development (USAID), National Security Council (NSC), and the Office of Management and Budget (OMB) the presentation of policy issues before Congress, the media and the public.
- f. Through contact with OMB follows legislation of concern to the Department and PM.
- g. Oversees and coordinates the preparation of statements and briefing materials for testimony by the Assistant Secretary and other senior

Department of State principals on substantive political-military issues.

1 FAM 413.3 Office of the Negotiator for the Safe and Secure Dismantlement of Nuclear Weapons (PM/PDAS/SSD)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Principal Deputy Assistant Secretary (PM/PDAS).
- b. Advises the Assistant Secretary for Political-Military Affairs (PM) and the Under Secretary for Arms Control and International Security Affairs (T) on issues related to transparency and irreversibility of the process of reduction of nuclear weapons; on safe, secure and dismantlement of nuclear weapons in the former Soviet Union (FSU); on the control and disposition of fissile material resulting from the dismantlement of nuclear weapons; and on all questions that relate to the cooperative reduction of weapons of mass destruction.
- c. Heads the U.S. delegation to Russia and other new independent republics of the FSU for the purpose of negotiating the safe and secure dismantlement of nuclear weapons and other weapons of mass destruction.
- d. Makes recommendations to the Assistant Secretary (PM), the Under Secretary (T), and other high level U.S. Government officials on the expenditures of monies under the Nunn-Lugar Act to ensure the reduction of weapons of mass destruction.

1 FAM 413.4 U.S. Representative to the International Atomic Energy Agency (PM/PDAS/IAEA)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Principal Deputy Assistant Secretary (PM/PDAS).
- b. Serves as the U.S. representative to the International Atomic Energy Agency (IAEA) and serves as the U.S. Governor on the IAEA's Board of Governors.
- c. Coordinates policy with respect to the IAEA, both within the Department and with other U.S. Government entities, including the Department of Energy, the Arms Control and Disarmament Agency, and the National

Security Council staff.

- d. Represents the United States in international negotiations on nuclear and nonproliferation matters.
- e. In coordination with the Bureaus of Legislative Affairs (H) and Public Affairs (PA), serves as a principal spokesman for the Administration and the Department of State before the Congress and the public on IAEA, nuclear nonproliferation, safeguards, and safety matters.
- f. Provides assistance and advice in ensuring that the long-term monitoring and verification plans of the United Nations Special Commission and the IAEA are compatible, cost effective, and efficient.

1 FAM 414 DEPUTY ASSISTANT SECRETARY FOR REGIONAL SECURITY (PM/RS)

(TL:ORG-62; 1-31-1995)

- a. Reports through PDAS to the Assistant Secretary for Political-Military Affairs (PM).
- b. Supervises the Office of Defense Relations and Security Assistance (PM/RS/DRSA); the Office of International Security and Peacekeeping Operations (PM/RS/ISP); and the Office of Regional Security Policy (PM/RS/RSP); and oversees the Political Advisers (POLADs) and the State-Defense Officer Exchange Program (SDE).
- c. Provides overall management and guidance to U.S. Government security assistance programs and policies, including preparation and implementation of security assistance budgets; and developing, coordinating, and defending U.S. Government policy on security assistance and arms transfers globally.
- d. Coordinates policy guidance and execution relating to regional and bilateral political-military and security issues based on the Department's geographic regions.
- e. Manages the Department of State's role in reviewing and approving Department of Defense (DOD) world-wide requirements and plans for operational activities abroad, including overflights, transits, deployments, and exercises.
- f. Coordinates the Department of State's role in providing DOD humanitarian assistance outside the United States.

- g. Coordinates policy regarding the security of U.S. nuclear weapons stationed abroad.
- h. Supervises Bureau crisis management activities.
- i. Coordinates support and policy guidance for Department of State officers assigned as Political Advisers to military commands.
- j. Represents the Bureau and the Department at interagency policy fora and the Department and Administration at Congressional briefings and formal testimony.
- k. Has responsibility in PM for peacekeeping worldwide—unilateral, coalition, UN-sponsored and assessed operations.
- l. Serves as primary Department of State contact for Joint Chiefs of Staff.
- m. Chairs both ad hoc and permanent interagency working groups on security matters.

1 FAM 414.1 Office of Defense Relations and Security Assistance (PM/RS/DRSA)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Regional Security (PM/RS).
- b. Formulates policy proposals on regional security issues pertaining to Africa, East Asia/Pacific regions, Indian Ocean, Europe (non-NATO issues), and Latin America; and, as appropriate, coordinates such proposals within the Bureau, the Department, and with other agencies, and monitors or supervises their implementation.
- c. Directs security assistance programs in accordance with national policy, the Foreign Assistance Act and the Arms Export Control Act.
- d. Analyzes the political-military implications of various aspects of U.S. foreign and defense policy including force levels, presence and deployment abroad, provisions for access and basing, command arrangements, arms transfers and security assistance including economic support, regional arms control proposals, and regional military procurement and force planning decisions. In concert with relevant regional bureaus, monitors foreign political and military developments, evaluates their implications for policy and U.S. security concerns, and recommends appropriate U.S. policy responses.

- e. Advises the Assistant Secretary for the Bureau of Political-Military Affairs and the Under Secretary for Arms Control and International Security Affairs (T), on policy and implementation matters relating to arms transfers, military and security assistance programs.
- f. Coordinates bilateral consultations with U.S. friends and allies on political-military affairs.
- g. Prepares policy assessments and recommendations concerning the integration of arms transfers and security assistance with U.S. defense programs and budgets.
- h. Provides Political-Military Watch Officers to the State, DOD, and JCS Operations Centers during crisis operations.
- i. Evaluates arms transfer proposals for their implications regarding the military capabilities of recipients and regional U.S. military capabilities and requirements, and for consistency with regional U.S. security policy objectives.
- j. Coordinates the Department's action on foreign military sales requests and reviewing applications for export licenses for commercial arms sales for policy considerations.
- k. Provides staff support for interagency arms export review and management bodies.
- l. Serves as the focal point for the Department for arms transfer policy and security assistance planning.
- m. Coordinates preparation of the annual security assistance budget recommendations for submission to the Office of Management and Budget. Prepares various periodic and ad hoc reports required by security assistance legislation.
- n. Coordinates preparation for the Congressional Presentation Document and the Javits Report.
- o. Prepares arms transfer determinations in fulfillment of statutory requirements. Coordinates ship loan legislation and resultant transfers. Coordinates Government review of co-production and co-assembly agreements.
- p. Ensures that actions in implementing security assistance programs are consonant with the Department's responsibilities as delegated by the President.

- q. Consults with and coordinating responses to inquiries from Congressional staff.
- r. Serves as central point-of-contact in PM for POLADs worldwide.

1 FAM 414.2 Office of International Security and Peacekeeping Operations (PM/RS/ISP)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Regional Security (PM/RS).
- b. Supports State Department principals, regional bureaus, U.S. missions, the Department of Defense (DOD), and the National Security Council (NSC) during crises and U.S. military operations abroad.
- c. Provides the primary Department liaison with the Department of Defense (DOD) and the interagency process on the political-military aspects of peacekeeping operations and Department of Defense humanitarian assistance programs.
- d. Develops and negotiates nuclear accident/incident agreements with North Atlantic Treaty Organization (NATO) allies and manages the Department's crisis response for nuclear accidents or incidents abroad.
- e. Provides Political-Military Watch Officers to the State, DOD, and JCS Operations Centers during crisis operations.
- f. Oversees personnel matters for military officers assigned to the Department of State, and adjudicates competing demands and the assignment of new positions.
- g. Manages all official flights to U.S. airports of entry.
- h. Coordinates, in concert with other interested offices in the Bureau, as well as with relevant regional or functional bureaus, the Department's review and approval of Department of Defense worldwide requirements for foreign bases, facilities, staging, overflight, and operating rights including overflight activities and the transit of nuclear weapons and nuclear-powered vessels, naval ship visits, assertion of navigation and overflight rights program, and international arrangements regarding indemnity and liability for military personnel and equipment, exercises, and operations (except those related to NATO and strategic forces). Provides foreign policy guidance on U.S. military support for UN peacekeeping operations in ISP's geographic areas of responsibility.

1 FAM 414.3 Office of Regional Security Policy (PM/RS/RSP)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Regional Security (PM/RS) on security issues and to the Principal Deputy Assistant Secretary for taskings as a bureau-wide analytical/policy development asset.
- b. Serves as the principal office for special projects that require analytic support and policy guidance on a broad range of political-military issues, including arms control, regional security policy, regional defense cooperation, and contingency planning during times of peace, crisis, and war.
- c. Monitors global political-military developments, prepares analyses of the implications of these developments for U.S. security interests, and develops options serving U.S. interests in the wake of these developments.
- d. Develops options for U.S. regional security policy initiatives, arms control initiatives and initiates policy planning studies, analyzing the implications for U.S. regional policy and security interests.
- e. Provides a "surge" capability for the Bureau to respond to unanticipated events that have an impact on U.S. security interests and require extensive attention by mid-level management and full time involvement by a skilled staff. Augments Bureau's representation on Department task forces during international crises.
- f. Follows developments related to the Department of Defense budgetary process and examines the implications of these developments for U.S. foreign policy concerns, especially in the areas of arms control and regional security policy. Serves as the Department's point-of-contact in reviewing and commenting on the Department of Defense's annual budget report.
- g. Monitors projections for the U.S. force posture globally, particularly where they bear on U.S. security access arrangements; prepares analyses of military requirements, taking into account relevant political factors; and develops strategies for satisfying those requirements.

1 FAM 415 DEPUTY ASSISTANT SECRETARY FOR ARMS CONTROL (PM/AC)

(TL:ORG-62; 1-31-1995)

- a. Reports through PDAS to the Assistant Secretary for Political-Military Affairs (PM).
- b. Acts as the principal adviser to the Assistant Secretary and the Under Secretary for Arms Control and International Security Affairs (T) on issues related to defense and arms control.
- c. Briefs members of Congress, their staffs, and officials of foreign countries on critical defense and arms control issues.
- d. Participates in high level interagency meetings dealing with defense and arms control issues.
- e. Develops comprehensive analysis, options, and recommendations for U.S. strategic nuclear policy, doctrine, force structure and modernization, limits on strategic offensive forces, nuclear testing, the negotiation of a Comprehensive Test Ban Treaty (CTBT), the safe and secure dismantlement of nuclear weapons in the former Soviet Union, the control of anti-personnel land mines, ballistic missile defense cooperation, regional arms control in Europe, Asia and Latin America, and multilateral arms control issues in the Conference on Disarmament. Coordinates efforts with other related agencies directly and through NSC-chaired subcommittees of the Interagency Working Group (IWG) apparatus.
- f. Supervises the development, direction, and implementation of comprehensive programs and policies necessary to implement and ensure compliance with agreements concerning strategic offensive arms reductions (START I and II), intermediate-range nuclear forces (INF), nuclear testing (TTBT and PNET) and anti-ballistic missiles (ABM).
- g. In conjunction with the above, participates in high level meetings concerning U.S. policy toward the Joint Compliance and Inspection Commission (JCIC) established under the Strategic Arms Reduction Talks (START I).
- h. Supervises the Office of Strategic Policy and Negotiations (PM/AC/SPN), the Office of Arms Control Implementation (PM/AC/ACI), and the Nuclear Risk Reduction Center (PM/AC/NRRC).
- i. Handles other matters as may arise or be assigned by the Assistant Secretary.

1 FAM 415.1 Office of Strategic Policy and

Negotiations (PM/AC/SPN)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Arms Control (PM/AC).
- b. Supports the Under Secretary for Arms Control and International Security Affairs and the Assistant Secretary for Political-Military Affairs in developing, and coordinating through the interagency process, U.S. policy regarding strategic nuclear weapons, strategic arms control for both offensive and defensive forces, European security and conventional arms control, nuclear testing, naval arms control, and U.S. defense programs.
- c. Serves as the lead office within the Department for developing U.S. policy on the deployment and control of nuclear weapons in Europe.
- d. Coordinates the Department's management of multilateral arms control negotiations, other than those at the Conference on Disarmament and other UN-related bodies.
- e. Serves as the lead office within the Department for developing U.S. policy on nuclear weapons testing and issues pertaining to the safety, security, command, control, destruction and dismantlement of nuclear weapons.
- f. Chairs interagency working groups on arms control issues except those dealing with chemical and biological weapons and implementation of arms control agreements.
- g. Develops analyses, options, and recommendations on U.S. strategic nuclear policy including doctrine, force structure, modernization plans, and limits on strategic offensive forces.
- h. Serves as lead office within the Department for developing U.S. policy on limits on strategic ballistic missile defenses.
- i. Analyzes political-military implications of various aspects of U.S. strategic forces and related intelligence activities, including force structure and deployments, technological developments, monitoring capabilities, and doctrinal matters.
- j. Chairs interagency working groups and coordinates the Department's review of negotiating issues within its purview, e.g., nuclear testing issues. Represents the Department as required in the interagency process, and supports specified delegations and negotiations.
- k. Provides staff support to the principal officers of the Department when participating in certain senior policy bodies, including the Deputies

Committee and the Principals Committee.

1 FAM 415.2 Office of Arms Control Implementation (PM/AC/ACI)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Arms Control (PM/AC).
- b. Provides political-military technical expertise on issues related to compliance with and implementation of arms control agreements.
- c. Has the lead within the Department with regard to all matters bearing on implementation of the:
 - (1) Anti-Ballistic Missile Treaty (ABM);
 - (2) Intermediate-Range Nuclear Forces Treaty (INF);
 - (3) Strategic Arms Reduction Treaties (START-I and START-II); and
 - (4) Threshold Test Ban Treaty (TTBT).
- d. Provides political-military contribution to the Department's support for the Conventional Forces in Europe Treaty (CFE) and the Open Skies Treaty (OS). ACI provides policy advice and development through the preparation of policy recommendations.
- e. Provides policy advice and development through the preparation of policy recommendations.
- f. Represents the Department in interagency working groups in order to advance Department views.
- g. Represents the Department on U.S. delegations participating in compliance and implementation fora.

1 FAM 415.2-1 Implementation Responsibilities

(TL:ORG-62; 1-31-1995)

- a. Monitors the implementation of arms control agreements including the conduct of inspections, notifications, and information sharing.
- b. Supports and participates in commissions established to oversee implementation and to resolve issues related to implementation of treaty provisions. Day-to-day support includes the:

- (1) ABM Treaty Standing Consultative Commission (SCC);
 - (2) INF Treaty Special Verification Commission (SVC);
 - (3) START-I Joint Compliance and Inspection Commission (JCIC);
 - (4) START-II Bilateral Implementation Commission (BIC); and
 - (5) TTBT Bilateral Consultative Commission (BCC).
- c. Participates in the negotiation of implementing procedures, including inspections, called for in arms control agreements.
 - d. Participates in the guidance for and conduct of inspections mandated by arms control agreements.

1 FAM 415.2-2 Compliance Responsibilities

(TL:ORG-62; 1-31-1995)

- a. Monitors and analyzes compliance data and the activities mandated by the obligations contained within arms control agreements.
- b. Develops and coordinates compliance judgments for consideration and decision by senior U.S. Government officials.
- c. Participates in the deliberations of arms control compliance fora.
- d. Pursues U.S. compliance policy to fruition with the pertinent parties to arms control agreements. ACI serves as the Department's compliance integrator and facilitator as a single point-of-contact, regardless of ACI's specified portfolio, in particular involving the Nonproliferation Treaty (NPT) and the Biological Weapons Convention (BWC).

1 FAM 415.2-3 Other Specific Responsibilities

(TL:ORG-62; 1-31-1995)

- a. Supports the NSC-led arms control Interagency Working Group (IWG) process, and represents the Department on the Verification and Compliance Working Group (VACWG).
- b. Assesses verification capabilities and requirements and represents the Department on the Verification Technology Working Group (VTWG).
- c. Serves as the Department's point-of-contact for the On-Site Inspection Agency (OSIA).

- d. Coordinates arms control implementation policy with pertinent organizations, such as the Nuclear Risk Reduction Center (NRRC), the OSCE network, and the Government-to-Government Communication Links (GGCLs). As appropriate, ACI provides staff representation on NRRC-related delegations.
- e. Serves as informal point-of-contact for various practical implementation functions, such as document translation and transmittal.

1 FAM 415.3 Nuclear Risk Reduction Center (PM/AC/NRRC)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Arms Control (PM/AC).
- b. Supports implementation of arms control and security agreements with foreign governments by operating dedicated, government-to-government communications systems. Additionally, the NRRC supports the interagency policy process during agreement negotiation so that the communications requirements of the resultant agreement can be implemented by the NRRC.
- c. Operates around-the-clock government-to-government communications links with the Russian Federation, Belarus, Kazakhstan, and Ukraine to provide expeditious handling of time-sensitive and routine Intermediate Nuclear Forces (INF) Treaty and START Treaty notifications.
- d. Provides similar communications support for bilateral agreements with Russia, including the Ballistic Missile Launch Agreement, the nuclear testing treaties, confidence-building bilateral chemical weapons agreements, and other security-related agreements.
- e. Operates a second 24-hour communications network to provide expeditious handling of time-sensitive and routine confidence- and support-building measures (CSBM) Treaty, and Open Skies Treaty notifications. The Organization on Security and Confidence in Europe (OSCE) Communications Network currently supports 33 countries and 3 OSCE institutes (out of 55 members).
- f. Operates the communications nodes for two interagency classified wide area networks which support specific arms control and security agreements for the U.S.
- g. Translates incoming notifications from Russian or French (and, when needed, from German, Spanish, or Italian); prepares DOS dissemination

cables for all incoming and outgoing messages; and makes operational alerts via telephone or facsimile.

- h. Coordinates with interagency groups responsible for arms control agreements requiring communications support from the NRRC to ensure efficient and successful message handling, including data automation, notification format development and provision of technical expertise as it relates to operations.
- i. Advises Department policy and operational offices on issues affecting the NRRC communications and notification processing functions, including development of standard operating procedures and training programs for watch officers.
- j. Supports and participates in delegations to foreign governments to discuss issues related to communications of arms control and security-related messages handled by the NRRC.

1 FAM 416 DEPUTY ASSISTANT SECRETARY FOR NONPROLIFERATION (PM/NP)

(TL:ORG-62; 1-31-1995)

- a. Reports through PDAS to the Assistant Secretary for Political-Military Affairs (PM).
- b. Supervises the Office of Chemical, Biological and Missile Nonproliferation (PM/NP/CBM); the Office of Regional Nonproliferation (PM/NP/RNP); and the Office of Nuclear Energy Affairs (PM/NP/NE).
- c. Bears responsibility for developing and implementing U.S. policy for nonproliferation of weapons of mass destruction and missiles capable of carrying them, including nuclear, chemical and biological weapons.
- d. Devises U.S. policy and initiatives to stem the spread of chemical weapons, both domestically, through the development of U.S. policies, including export controls; and internationally, through international organizations, mechanisms, and bilateral arrangements with individual countries.
- e. Participates in the Interagency Working Group on Nonproliferation and Export Controls and other interagency groups, as necessary; frequently heads U.S. delegations to multilateral organizations concerned with nonproliferation; and leads bilateral negotiations on nonproliferation. Carries out special missions concerning nonproliferation matters for

Department principals and works directly with them to devise and implement U.S. policies and programs.

- f. Represents the Bureau and the Department at international meetings and before domestic audiences. Testifies before Congress and consults with Congress on pending issues.
- g. Actively participates in Bureau management, including personnel and financial issues and serves as Acting Assistant Secretary in the absence of the Assistant Secretary and the Principal Deputy Assistant Secretary.

1 FAM 416.1 Office of Chemical, Biological, and Missile Nonproliferation (PM/NP/CBM)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Nonproliferation (PM/NP).
- b. Formulates and implements U.S. Government policy on the nonproliferation of chemical and biological weapons (CBW) and missiles capable of carrying weapons of mass destruction.
- c. Represents the Department in contacts with the Department of Defense, the Department of Commerce, and other relevant agencies on nonproliferation and arms control questions involving CBW and missiles.
- d. Plays a principal role in U.S. Government development of policies for international CBW and missile nonproliferation organizations, such as the Australia Group and the Missile Technology Control Regime, and leads U.S. Government participation in their meetings.
- e. Guides Department development of policies for international CBW and missile arms control organizations and negotiations, such as the Chemical and Biological Weapons Conventions, and leads Department participation in their meetings.
- f. Chairs interagency groups concerned with the licensing of CBW and missile proliferation-related exports, CBW/missile sanctions, and interdiction of proliferation-related transactions, such as the Missile Trade Analysis Group, Missile Technology Export Control Group, and the Shield CBW Working Group.
- g. Analyzes and provides recommendations on particularly sensitive or important proliferation-related export cases for Department principals and for the Advisory Committee on Export Policy, Export Administration Review Board, and other high-level bodies.

- h. Leads Department participation in formulating U.S. Government policy on foreign policy controls on exports related to CBW and their missile delivery systems, such as the Enhanced Proliferation Control Initiative.
- i. Ensures that the proliferation implications of proposed CBW missile-related exports are fully considered in the decision-making process.

1 FAM 416.2 Office of Regional Nonproliferation (PM/NP/RNP)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Nonproliferation (PM/NP).
- b. Formulates Department policy on proliferation of weapons of mass destruction (WMD) and on prevention of the loss of human intellectual resources from the former Soviet Union. RNP takes part in the Department's interagency consideration of these issues and seeks to conform U.S. nonproliferation and regional security policies in key regions.
- c. Coordinates U.S. nonproliferation policy in the nuclear, chemical, biological, and missile areas.
- d. Integrates nuclear weapons nonproliferation policy with other relevant U.S. Government political-military policies regionally and globally, especially those involving arms control, security assistance, and defense-related exports.
- e. Develops and implements U.S. Government policy concerning the UN Special Commission on Iraqi Weapons of Mass Destruction (UNSCOM), including its long-term monitoring programs for all areas of WMD.
- f. Develops and implements U.S. Government policy on nuclear weapons proliferation, particularly with regard to nations where the potential of weapons development is highest.
- g. Develops and integrates U.S. nuclear weapons proliferation policy toward bilateral and regional arms control fora.
- h. Represents the Department at interagency meetings at which U.S. Government policy on nuclear weapons proliferation and supercomputer export policy is made.
- i. Participates in the negotiation of international agreements and guidelines regarding nuclear weapons proliferation and supercomputer export policy.

- j. Coordinates U.S. Government efforts to interdict and eliminate international trafficking in nuclear materials and other radioactive substances.

1 FAM 416.3 Office of Nuclear Energy Affairs (PM/NP/NE)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Nonproliferation (PM/NP).
- b. Seeks to strengthen the international nuclear nonproliferation regime while advancing peaceful nuclear cooperation with those states which have accepted binding international commitments to nonproliferation and the application of IAEA safeguards to their peaceful nuclear programs.
- c. Provides essential staff support for the Senior Coordinator for Reactor Safety Assistance, and helps to coordinate a coherent and effective program of U.S. assistance to improve the safety of nuclear reactors in the former Soviet Union and Eastern Europe.
- d. Serves as the primary focus in the State Department for international nuclear energy issues and negotiations and works with other regional and functional bureaus, the Departments of Energy, Defense, and Commerce, the NSC, OMB, the Nuclear Regulatory Commission (NRC), and Congress.
- e. Specifically, the office:
 - (1) Develops and implements U.S. policy on nuclear nonproliferation, cooperation, and safety issues.
 - (2) Promotes adherence to the Nonproliferation Treaty (NPT) and the Treaty of Tlatelolco.
 - (3) Negotiates and implements agreements for peaceful nuclear cooperation pursuant to the Atomic Energy Act, as amended.
 - (4) Coordinates U.S. technical assistance so as to enhance U.S. influence on nuclear nonproliferation issues.
 - (5) Supports coordination of international nuclear safety assistance to civilian nuclear programs of Russia, the New Independent States (NIS), and Eastern Europe and establishment of Science and Technology Centers in Russia and Ukraine to employ former Soviet weapons scientists in non-weapons activities. Represents the U.S. in the G-7 and G-24 groups to coordinate bilateral and multilateral

assistance on these matters.

- (6) Leads U.S. efforts to strengthen nuclear export control regimes (Nuclear Suppliers Group and the Zangger Committee), and chairs the nuclear export review process.
- (7) Chairs interagency effort to inhibit illegal/inappropriate nuclear-related exports to countries of concern.
- (8) Strengthens IAEA safeguards by coordinating U.S. Government assistance, committing special funds, and working with specific countries in support of effective application of safeguards.
- (9) Leads U.S. Government negotiations on physical security issues, e.g., upgrading of international standards and development of transportation plans for U.S.-origin nuclear material.

1 FAM 417 DEPUTY ASSISTANT SECRETARY FOR EXPORT CONTROLS (PM/EC)

(TL:ORG-62; 1-31-1995)

- a. Reports through PDAS to the Assistant Secretary for Political-Military Affairs (PM).
- b. Coordinates for the Department and the Administration the development of transfer policy for sensitive defense and intelligence-related technologies.
- c. Manages commercial defense trade, including:
 - (1) Development and implementation of export control policy, both arms and dual-use.
 - (2) Coordination of policy for rules and regulations governing dual-use and defense transfers.
 - (3) Decisions on appeals by industry of decisions on export licenses and the jurisdiction of commodities controlled as arms or munitions, on exceptions requests and implementation of debarments.
 - (4) Coordination of Department efforts in support of U.S. industries and exporters, when consistent with law and policy.
- d. Represents the Bureau and the Department at interagency policy fora, and the Department and the Administration in Congressional briefings

and formal testimony.

- e. Develops policy for and manages bilateral and multilateral negotiations with other major suppliers to limit arms transfers and to exercise restraint in sensitive dual-use trade.
- f. Supervises the Office of Export Control Policy (PM/EC/EXP) and the Office of Defense Trade Controls (PM/EC/DTC).
- g. Monitors compliance with U.S. law and regulations governing defense exports, including:
 - (1) Negotiation with recipient countries on compliance issues.
 - (2) Overseeing imposition of civil penalties by the Department for non-compliance with U.S. law and regulation governing defense exports.
 - (3) Assessment of sensitive intelligence reports of violations.

1 FAM 417.1 Office of Export Control Policy (PM/EC/EXP)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Export Controls (PM/EC).
- b. Sets policy guidelines for commercial defense trade, including work on strategic trade issues, both globally and for multilateral fora, as well as seeks to resolve technology transfer issues raised by individual commercial transactions.
- c. Develops U.S. policy relative to the establishment of munitions export control regimes in emerging democracies, coordinates bilateral discussions with these countries, integrates these policies with broader export control, non-proliferation, and trade issues.
- d. Plays a key role in the formulation of U.S. policy in COCOM Successor Regime discussions on the composition of the International Munitions List (IML) and its implementation domestically and internationally.
- e. Works to resolve overlapping areas of control among the U.S. Munitions List, the Commodity Control List, the COCOM Successor Dual-use List and the COCOM Successor IML. Leads interagency discussions when policy issues arise in this connection.
- f. Provides policy guidance to U.S. Embassies in support of the efforts to U.S. defense industry to market its products and services abroad.

- g. Supports sustainment of the U.S. defense industrial base, working in cooperation with other Departments of the U.S. Government, including the Departments of Defense, Commerce, and Treasury.
- h. Represents the Bureau of Political-Military Affairs on the Treasury-chaired Committee on Foreign Investment in the United States.

1 FAM 417.2 Office of Defense Trade Controls (PM/EC/DTC)

(TL:ORG-62; 1-31-1995)

- a. Reports to the Deputy Assistant Secretary for Export Controls (PM/EC).
- b. Prescribes rules and regulations and administers the U.S. Government program for control of commercial export of defense articles, defense services, and related technical data, in accordance with the Arms Export Control Act, as amended (22 U.S.C. 2279 and 2778) and 22 CFR 120-128 and 130, International Traffic in Arms Regulations, as revised.
- c. Develops and provides, in consultation with interested agencies, policy guidance and recommendations on munitions exports; registers U.S. manufacturers and exporters of arms, ammunition, and implements of war; designates articles on the United States Munitions List with concurrence of the Department of Defense; issues licenses and other approvals for exports of defense articles, and services, technical data, and for manufacturing license and technical assistance agreements; acts, in liaison with investigative and enforcement agencies, to ensure compliance with arms traffic control laws and regulations; and compiles statistics on certain munitions exports and export licensing for interested U.S. Government agencies and the Congress.
- d. Serves as focal point in the Department for the development and implementation of the "National Policy and Procedures for the Disclosure of Classified Military Information to Foreign Governments and International Organizations (NDP-I)" as issued by the Secretary of Defense with the concurrence of the Secretary of State.

1 FAM 418 DEPUTY ASSISTANT SECRETARY FOR BURDENSARING (PM/B)

(TL:ORG-62; 1-31-1995)

- a. Reports through PDAS to the Assistant Secretary for Political-Military

Affairs (PM).

- b. Negotiates in-kind and financial support by countries allied to the United States for Department of Defense military units and personnel assigned to permanent duty ashore outside the United States in support of the security of such countries.
- c. Assists and consults the Department of Defense in negotiations with host governments for the recovery of funds associated with financial commitments from such countries for paying the United States the residual value of United States facilities that the United States relinquishes to such countries upon the termination of the use of such facilities by the United States.
- d. Serves as the focal point for the Department with other bureaus and U.S. Government agencies for defense burdensharing policy and issues.
- e. Raises consciousness among allies and U.S. agencies of the necessity, opportunities, and modalities for "responsibility sharing," the sharing of roles, risks, responsibilities and costs associated with the forward deployment of U.S. military forces stationed in allied countries.

1 FAM 419 UNASSIGNED

1 FAM 411 EXHIBIT 411.2 BUREAU OF POLITICAL-MILITARY AFFAIRS (PM)

(TL:ORG-62; 1-31-1995)

